

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
01-202

In Re Application Of: Michael Helmus

Serial No.
Unassigned

Filing Date
Filed Herewith

Examiner
Unassigned

Group Art Unit
Unassigned

Title: **BIODEGRADABLE IMPLANTABLE OR INSERTABLE MEDICAL DEVICES WITH
CONTROLLED CHANGE OF PHYSICAL PROPERTIES LEADING TO BIOMECHANICAL
COMPATIBILITY**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

10/07/97
02/14/02

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 2/14/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.6 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Marjorie Scariati

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: Feb. 13, 2002

Signature

David B. Bonham Reg. No. 34,297
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Tel.: 703-433-0510

CC:

36997 U.S. PTO
10/075970
02/14/02

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. 01-202	SERIAL NO. Unassigned
	APPLICANT(S) Michael Helmus	
	FILING DATE Filed Herewith	GROUP Unassigned

jc997 U.S. SI. PTO
10/07/99
02/14/02

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,282,860	2/1/94	Matsumo et al.	623	12	
	2	5,464,450	11/7/95	Buscemi et al.	623	6	
	3	5,500,013	3/19/96	Buscemi et al.	623	1	
	4	5,551,954	9/3/96	Buscemi et al.	623	1	
	5	5,649,977	7/22/97	Campbell	623	1	
	6	5,686,090	11/11/97	Schilder et al.	424	423	
	7	5,733,925	3/31/98	Kunz et al.	514	449	
	8	5,766,710	6/16/98	Turnlund et al.	428	36.1	
	9	5,769,883	6/23/98	Buscemi et al.	623	1	
	10	5,922,393	7/13/99	Jayaraman	427	2.3	
	11	5,935,506	8/10/99	Schmitz et al.	264	400	

#2

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1	WO 99/51163	10/14/99	PCT	A61F	2/00		
	2	WO 98/56312	12/17/98	PCT	A61F	2/06		
	3	GB 2 222 954 A	3/28/90	Great Britain	A61L	27/00		

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	1	H. Finkelmann et al., "A New Opto-Mechanical Effect in Solids," Physical Review Letters, Volume 87, No. 1, July 2, 2001, pp. 015501-1 - 015501-4

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12	5,957,975	9/28/99	Lafont et al.	623	1	
	13	6,093,200	7/25/00	Liu et al.	606	228	
	14	6,126,645	10/3/00	Thompson	604	245	
	15	6,160,084	12/12/00	Langer et al.	528	272	
	16	6,228,111 B1	5/8/01	Tormala et al.	623	1.38	
	17	6,245,103 B1	6/12/01	Stinson	623	1.22	
	18	6,251,136 B1	6/26/01	Guruwaiya et al.	623	1.46	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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